

STONEBRIDGE RANCH COMMUNITY ASSOCIATION, INC.

**POLICY
WHISTLEBLOWER POLICY**

Original

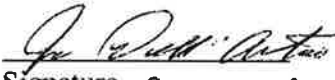
07/30/2015

WHEREAS, the Board of Directors ("Board") of The Stonebridge Ranch Community Association, Inc. ("Association") recognizes the need to provide guidance for the Board as to the Association's responsibilities and consequences of their actions in dealing with Association and ensuring a high level of ethical behavior and open discourse concerning perceived improper actions or conduct.

NOW, THEREFORE, IT IS RESOLVED the Association desires to adopt the Whistle Blow Policy attached hereto as Exhibit "A" which is intended to address the this concern (the "Policy").

This is to certify that the foregoing resolution was adopted by the Board of Directors of the Stonebridge Ranch Community Association, Inc., at a meeting of same on July 30, 2015, and has not been modified, rescinded or revoked.

DATE: Aug. 4, 2015


Signature BOARD PRESIDENT

STONEBRIDGE RANCH COMMUNITY ASSOCIATION, INC.

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I. Purpose:

To provide guidance to the Board of Directors of the Stonebridge Ranch Community Association, Inc. ("Association") as to their general responsibilities and the consequences of their action in dealing with Association business.

II. Policy:

The Association expects elected Directors to observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As representatives of the Association, Directors must practice honesty and integrity in fulfilling your responsibilities and comply with all applicable laws and regulations.

Reporting Responsibility - It is the expectation of the Association that all elected Directors will report ethics violations or suspected violations in accordance with this Whistleblower Policy. Ethics violations may consist of violations of (1) accounting practices; (2) governing municipal, county, state, or federal law, ordinance or regulation; (3) the Association's Governing Document; and (4) retaliation as a result of reporting a violation.

No Retaliation - No elected Director who in good faith reports an ethics violation shall suffer harassment or retaliation as a consequence. This Whistleblower Policy is intended to encourage and enable elected Directors to raise serious concerns within the Association prior to seeking resolution outside the Association.

Reporting Violations - The Association suggests that elected Directors share their questions, concerns, suggestions or complaints with someone who can address them properly. In most cases, The Board of Directors President will be in the best position to address an area of concern. However, if you are not comfortable speaking with the Board of Directors President you are encouraged to speak with any officer or other Director of the Association. If the President of the Board is the person who has allegedly committed the ethics violation, the elected Director shall report such violations to the Board Vice-President or other officer.

Director of Operations - The Association's Director of Operations is responsible for investigating and resolving all reported complaints and allegations concerning violations and shall advise the Board of Directors President and/or the elected Board Directors. The Director of Operations has direct access to the Board and is required to report to them at least annually on compliance activity. If the Director of Operations is the person who has allegedly committed the ethics violation, the Board of Directors shall investigate and resolve any such violations. If the complaint is against an elected Board Director, the Director against whom the complaint is made shall excuse himself or herself from any Board meeting or investigative meeting concerning the complaint and not take part in any investigation or vote concerning same.

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Acting in Good Faith - Anyone filing a complaint concerning a violation or suspected violation must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

Confidentiality - Violations or suspected violations may be submitted on a confidential basis by the complainant. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

Handling of Reported Violations The association Director of Operations or Board of Directors President will notify the sender and acknowledge receipt of the reported violation or suspected violation within ten business days. All reports will be promptly investigated and appropriate corrective action will be taken if warranted by the investigation.

This Policy is effective upon recordation in the Public Records of Collin County, and supersedes any Policy regarding Whistleblower Activity or Reporting which may have previously been in effect.

III. REVISION LOG

Revision	Date	Changes
	07/30/15	Original
	01/26/17	Reviewed by the Board of Directors with no changes made.
	01/25/18	Reviewed by the Board of Directors with no changes made.
	01/24/19	Reviewed by the Board of Directors with no changes made.
	01/23/20	Reviewed by the Board of Directors with no changes made.
	01/28/21	Reviewed by the Board of Directors with no changes made.

Approved by the Board of Directors

Signature: Jim Nt

Date: 1-28-2021